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PTO/SB/05 (4/98) Please type a plus sign (+) inside this box Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Please type a plus sign (+) inside this box -> + BW-406 Attornev Docket No. UTILITY First Inventor or Application Identifier CANTRELL, D. PATENT APPLICATION WRAPPER FOR SMOKING ARTICLE TRANSMITTAL Express Mail Label No. Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) 406928770US APPLICATION ELEMENTS ADDRESS TU. See MPEP chapter 600 concerning utility patent application contents. Washington DC 20231 * Fee Transmittal Form (e.g., PTO/SB/17) Microfiche Computer Program (Appendix) Х (Submit an original and a duplicate for fee processing) 6. Nucleotide and/or Amino Acid Sequence Submission Х Specification Total Pages (if applicable, all necessary) (preferred arrangement set forth below) Computer Readable Copy - Descriptive title of the Invention - Cross References to Related Applications b. Paper Copy (identical to computer copy) Statement Regarding Fed sponsored R & D Statement verifying identity of above copies C. - Reference to Microfiche Appendix - Background of the Invention ACCOMPANYING APPLICATION PARTS - Brief Summary of the Invention Χ Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 37 C.F.R.§3.73(b) Statement | Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) 9. English Translation Document (if applicable) - Abstract of the Disclosure Copies of IDS Information Disclosure 10. Drawing(s) (35 U.S.C. 113) Total Sheets Statement (iDS)/PTO-1449 Citations Preliminary Amendment Oath or Declaration [Total Pages Return Receipt Postcard (MPEP 503) X Χ a. Newly executed (original or copy) 12. (Should be specifically itemized) Copy from a prior application (37 C.F.R. § 1.63(d)) Small Entity 1 Statement filed in prior application, (for continuation/divisional with Box 16 completed) 13 Statement(s) Status still proper and desired (PTO/SB/09-12) DELETION OF INVENTOR(S) Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) named in the prior application, (if foreign priority is claimed) see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). 15. Other: NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1,28). 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation Divisional Continuation-in-part (CIP) of prior application No: Group / Art Unit: Prior application information: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Correspondence address below (Insert Customer No. or Attach bar code label here) JOHN F. SALAZAR, REG. NO. 39,353 Name MIDDLETON & REUTLINGER 2500 BROWN & WILLIAMSON TOWER Address LOUISVILLE KENTUCKY 40202 State Zip Code City U.S. 502 584 1135 502 561 0442 Fax Country Telephone

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FEE TRANSMITTAL		C mplete if Known		
I EE IIVAIIO		Application Number		
for FY 2000 Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.		Filing Date	07/20/00	
		First Named Inventor	CANTRELL, D.	
		Examiner Name		
See 37 C.F.R. §§ 1.27	and 1.28.	Group / Art Unit		
TOTAL AMOUNT OF PAYMENT	(\$) 730,00	Attorney Docket No.	BW-406	

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit	3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Fee Fee Code (\$) Code (\$)	ee Paid			
Account Number	105 130 205 65 Surcharge - late filing fee or oath				
Deposit Account	127 50 227 25 Surcharge - late provisional filing fee or cover sheet.				
Name	139 130 139 130 Non-English specification				
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	147 2,520 147 2,520 For filing a request for reexamination				
	112 920* 112 920* Requesting publication of SIR prior to Examiner action				
2. X Payment Enclosed: X Check Order Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
FEE CALCULATION	115 110 215 55 Extension for reply within first month				
	116 380 216 190 Extension for reply within second month				
1. BASIC FILING FEE Large Entity Small Entity	117 870 217 435 Extension for reply within third month				
Fee Fee Fee Fee Description	118 1,360 218 680 Extension for reply within fourth month				
Code (\$) Code (\$) Fee Paid 101 690 201 345 Utility filing fee	128 1,850 228 925 Extension for reply within fifth month				
106 310 206 155 Design filing fee	119 300 219 150 Notice of Appeal				
107 480 207 240 Plant filing fee	120 300 220 150 Filing a brief in support of an appeal				
108 690 208 345 Reissue filing fee	121 260 221 130 Request for oral hearing				
114 150 214 75 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding				
<u> </u>	140 110 240 55 Petition to revive - unavoidable				
SUBTOTAL (1) (\$)690.00	141 1,210 241 605 Petition to revive - unintentional				
2. EXTRA CLAIM FEES	142 1,210 242 605 Utility issue fee (or reissue)				
Fee from Extra Claims <u>below</u> <u>Fee Paid</u>	143 430 243 215 Design issue fee				
Total Claims20** = X =	144 580 244 290 Plant issue fee				
Independent 3** = X =	122 130 122 130 Petitions to the Commissioner				
Multiple Dependent =	123 50 123 50 Petitions related to provisional applications				
**or number previously paid, if greater; For Reissues, see below	126 240 126 240 Submission of Information Disclosure Stmt				
Large Entity Small Entity Fee Fee Fee Fee Pescription Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)	10.00			
103 18 203 9 Claims in excess of 20	146 690 246 345 Filing a submission after final rejection (37 CFR § 1.129(a))				
102 78 202 39 Independent claims in excess of 3	149 690 249 345 For each additional invention to be				
104 260 204 130 Multiple dependent claim, if not paid	examined (37 CFR § 1.129(b))				
109 78 209 39 ** Reissue independent claims over original patent	Other fee (specify)				
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (specify)				
SUBTOTAL (2) (\$) Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)40.00					
SUBMITTED BY Complete (if applicable)					
Name (Print Type) JOHN F. SALAZAR Registration No. (Attorney/Agent) 39,353 Telephone 502 584 1135					
Signature Date 7/20/00					

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